



PATENTS

RECEIVED

SEP 14 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Busby, et al.

Serial No. 09/800,863

Examiner: Unassigned TECH CENTER 1600/29

Filed: March 7, 2001

Group Art Unit: 1636

Title: METHODS FOR IMPROVING SECONDARY METABOLITE  
PRODUCTION IN FUNGI

Attorney Docket No.: 109272.148

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

9/7/01  
Date of Signature and of Mail Deposit

By:

Paul L. Speidel  
Paul L. Speidel

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97-98, Applicant brings to the attention of the Examiner the following publication listed on the attached Form PTO-1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute "prior art". Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed publication, should the publication be applied against the claims of the present application.


A copy of the publication listed on the attached Form PTO-1449 is submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office communication.

It is Applicant's belief that that this Supplemental Information Disclosure Statement is being filed prior to the mailing of the First Office Action on the merits and is therefore submitted

as both timely and proper; thus, no fees are believed to be due. However, in the event of a fee deficiency, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Dated: September 7, 2001

  
Robert McIsaac, Ph.D.  
Registration No. 46,918  
Attorney for Applicants

Hale and Dorr LLP  
60 State Street  
Boston, Massachusetts 02109  
(617) 526-6466 (Telephone - direct)  
(617) 526-5000 (Facsimile)